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# Verification and Validation of Automated Valet Parking System - Safety Challenges and Solutions

Dr. Alexandru Forrai, USP Event, 16-Dec-2020



 EIT Urban Mobility is supported by the EIT,  
a body of the European Union



## Automated driving systems - main challenges

Verification and validation of automated valet parking system

ISO 26262 perspective

Verification and validation of automated valet parking system

SOTIF perspective

Remarks, conclusions and discussions



## **Technology challenge: build a safe car**

- it can perceive the road environment better than a human driver
- it makes “reasonable” decisions like a human driver

## **Regulatory challenge: build a functional car, accepted by society**

- it makes a proper trade-off between safety and functionality – “I am safe if I do not drive but then I am not functional, not accepted”
- it fits into the defined regulatory bounds – ongoing process

## **Business challenge: build a cost-effective car**

- it means consumers are willing to switch to driverless car
- it means new business models, and/or redefinition of “mobility”



# Safety in Different Industry Sectors

Pick and place robot



Chemical plant



Elevators



Airplane



## System complexity

Mid-complexity

High-complexity

Mid-complexity

High-complexity

## Safe state (in case of malfunction)

Sudden stop

Safe stop within  $\Delta T$

Stop nearest floor

Land nearest airport





## Operational environment

Known & Defined

Known & Controlled

Known & Defined

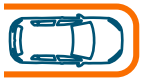
Unknown-Predicted

		System	
		Simple	Complex
Operational environment	Known		
	Unknown	 maybe not safe	

## Remarks:

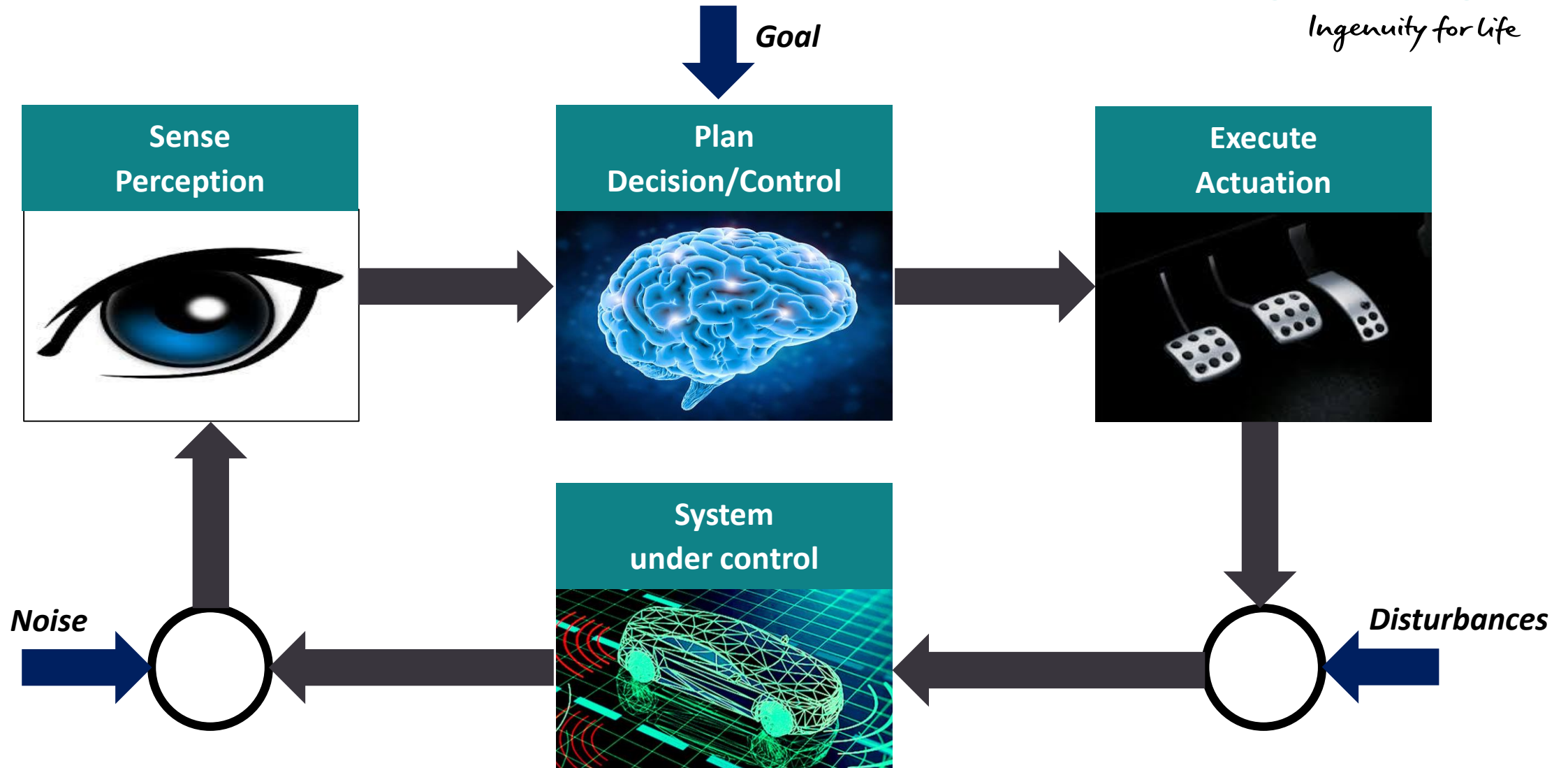
The system is designed for the known operational environment, where should operate safely.

***Operational env. shall be known/monitored/predicted – otherwise operational safety cannot be assured.***

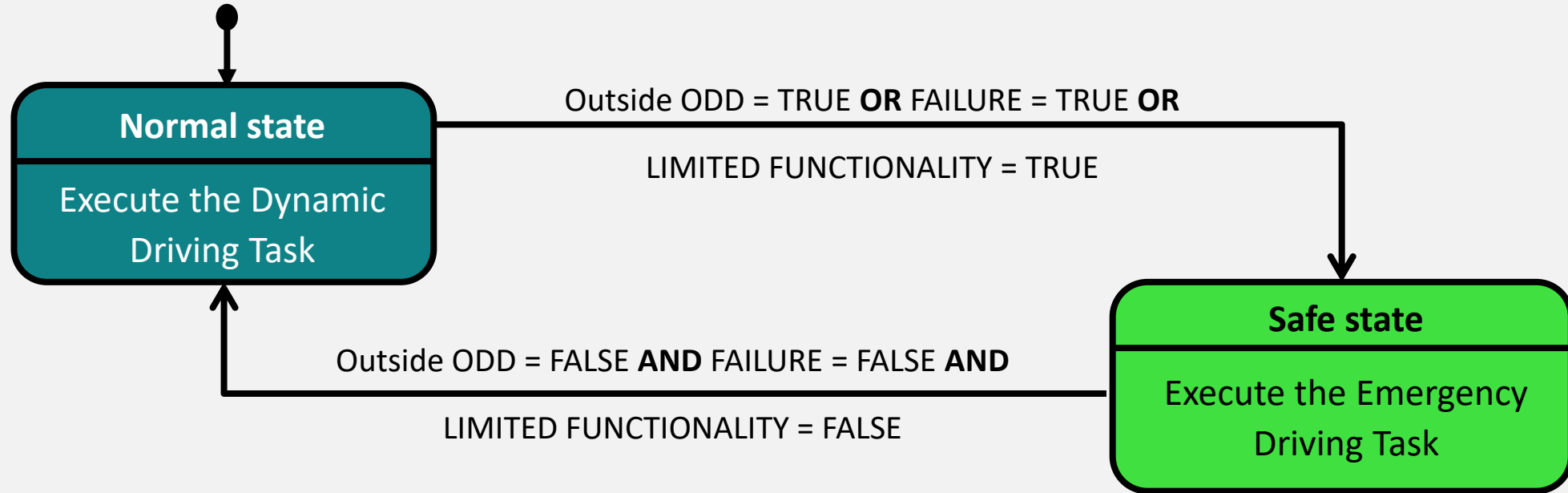


# Automated Driving System

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# Autonomous Vehicle – a State Machine Representation



## Rules for autonomous vehicles (in hierarchical order)

1. Shall prevent harm and avoid accidents
2. Shall maintain free movement of the traffic
3. Shall respect traffic rules and safety distances

**Remarks:** Emergency Driving Task- move to emergency lane and stop OR stop safely (e.g. no emergency lane)  
Operational Design Domain (ODD)





## **Operational environment (operational design domain):**

- shall be known, shall be monitored/controlled or shall be well-predicted, otherwise operational safety becomes a very difficult task.

## **For complex systems – in case of malfunction or limited functionality:**

- fault-tolerance or operation under degraded performance shall be guaranteed, so the system can make a smooth transition into the safe state.





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# What is Safety?

**What is Safety?** Freedom from those conditions that can cause death, injury, occupational illness, damage to or loss of equipment or property, or damage to the environment. (MIL-STD-882E).

## How to assure safety?

Safety by design, which means: how we **Define → Design → Develop → Deploy.**

## Some of the relevant automotive safety standards in use or expected to come:

**2<sup>nd</sup> edition ISO26262 (IEC61508)**

**ISO PAS 21448 (SOTIF) – complementing ISO26162**

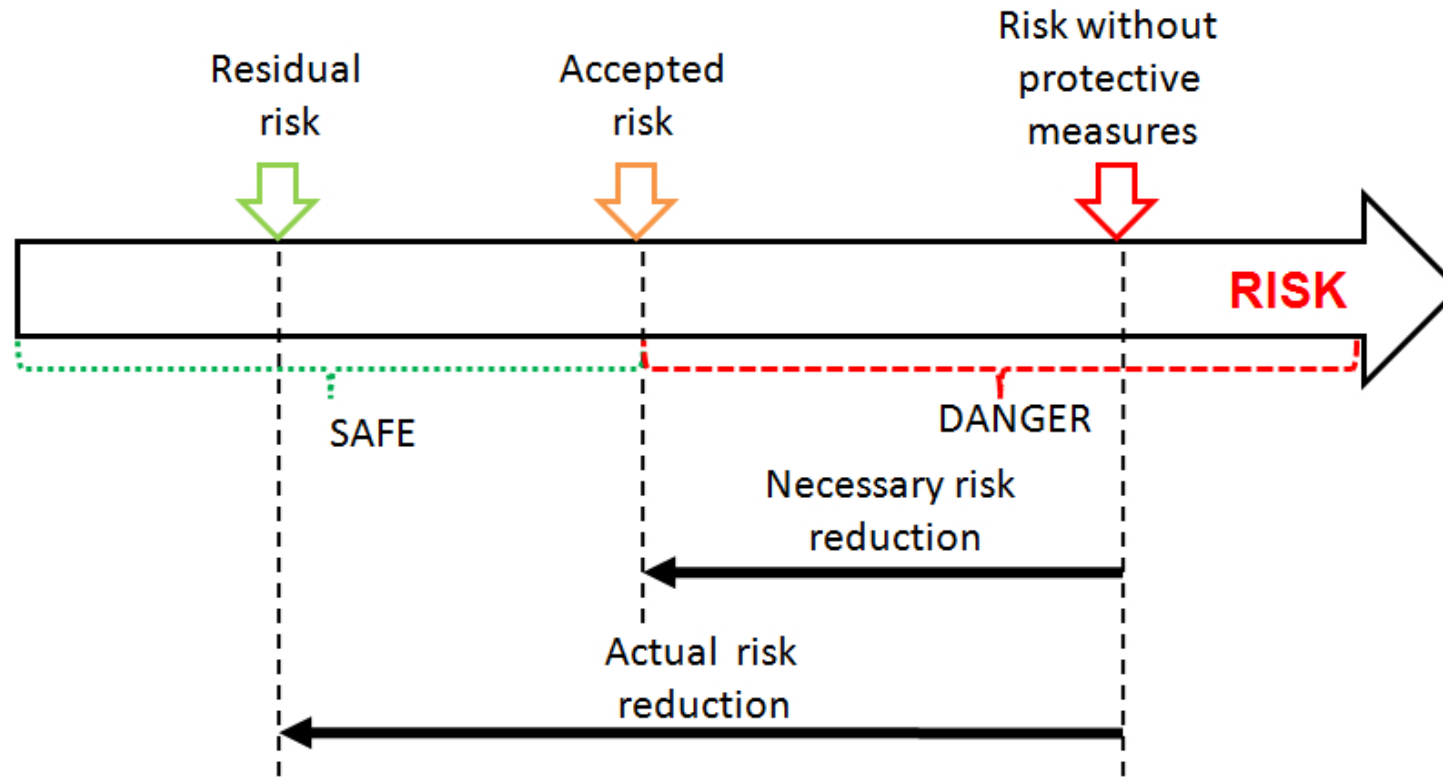
Road vehicles -- Safety of the intended functionality

**SAE J3101 Hardware-Protected Security for Ground Vehicle Applications**

**SAE J3061 Cybersecurity Guidebook for Cyber-Physical Vehicle Systems**



# What is Risk?



$$\text{Risk} = \text{Severity} * \text{Probability of Exposure} = S * E$$

$$\text{Residual risk} = \text{Severity} * \text{Probability of Exposure} * (1 - \text{Controllability}) = S * E * (1 - C)$$

**Remark:** it is required to minimize the risk at least to the **accepted (tolerable)** risk.



## The absence of unreasonable risk due to hazards caused by malfunctioning behaviour of E/E systems



### Systematic failures

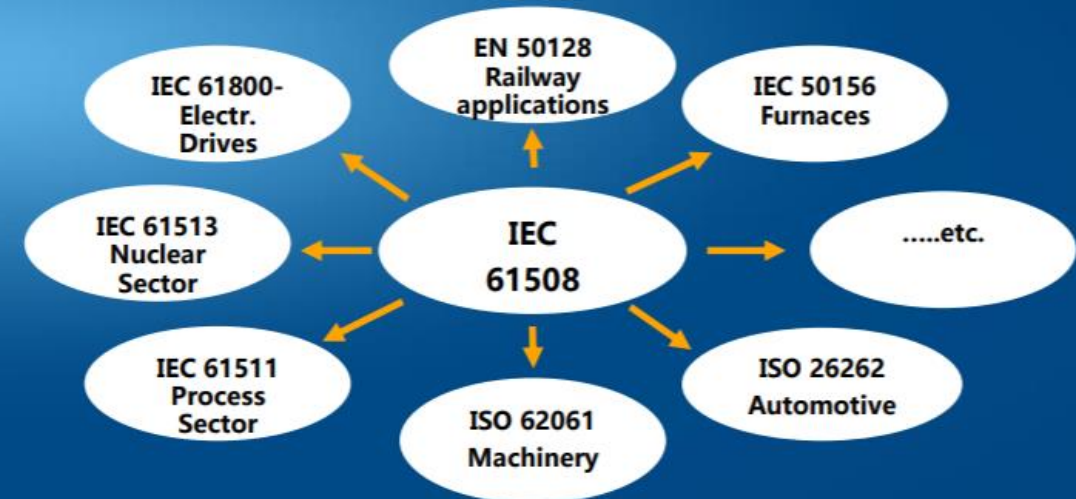
*(Bugs in S/W, H/W design and Tools)*



### Random H/W failures

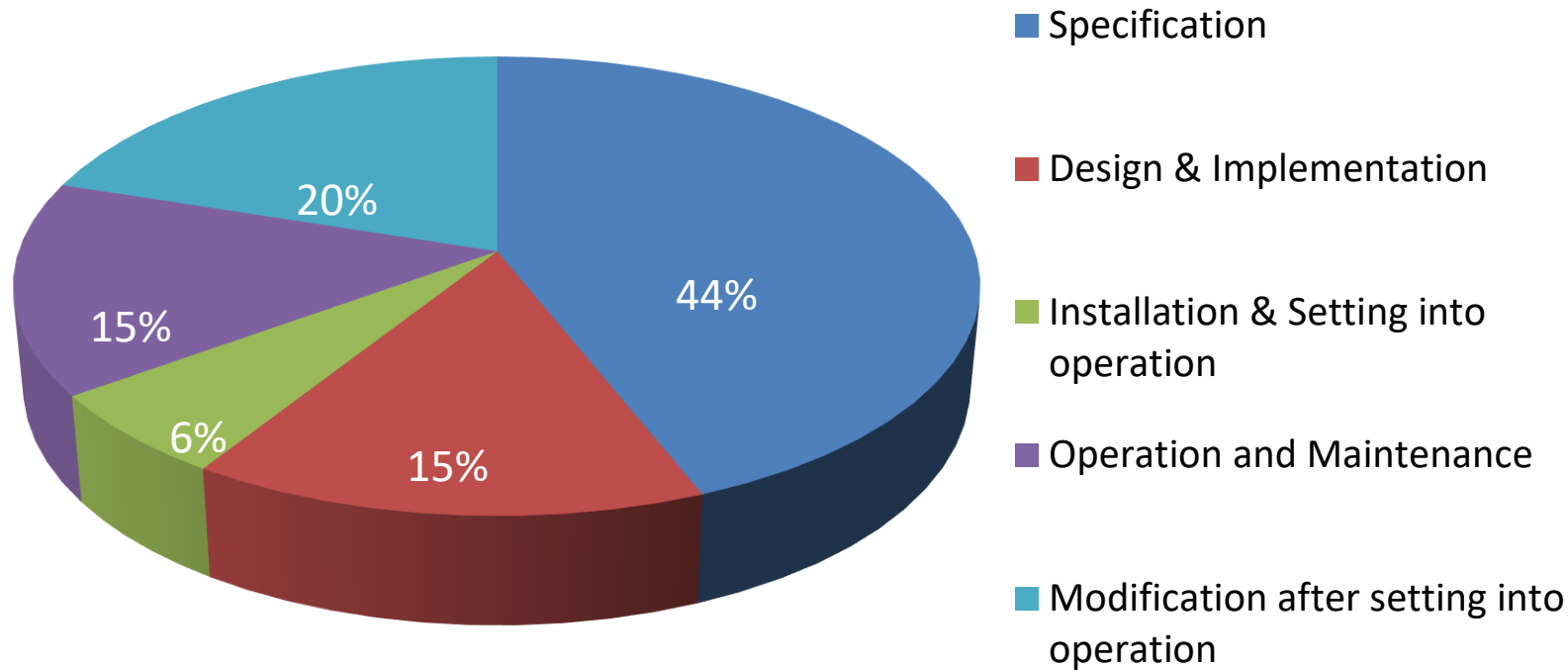
*(permanent faults, transient faults occurring while using the system)*

**Ruled by International Standards**  
setting the "state of art" (for liability)

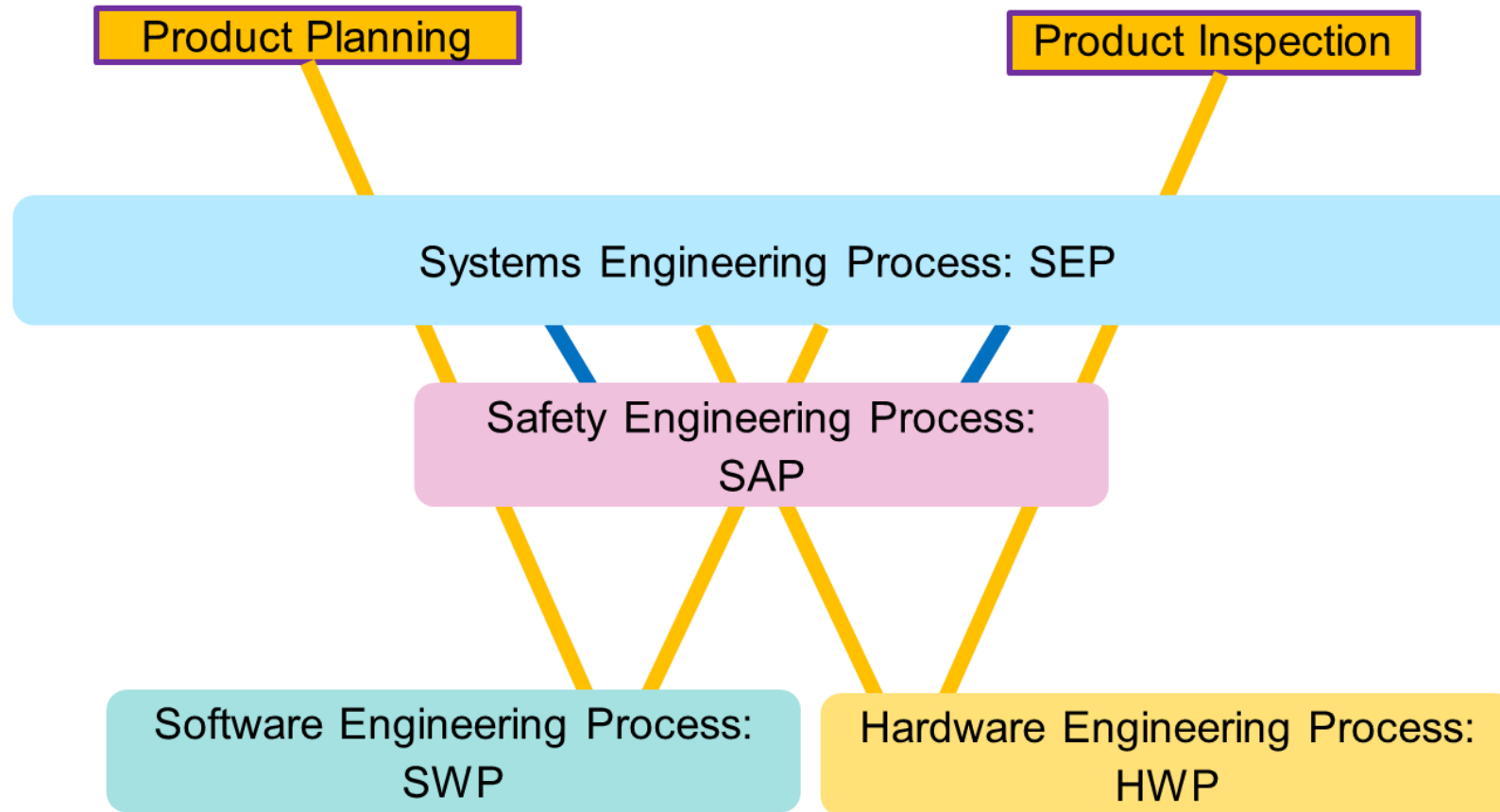


Functional Safety Standards used in different industry sectors

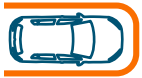
## Failures distribution during development & deployment



Source: UK Health and Safety Executive (HSE)

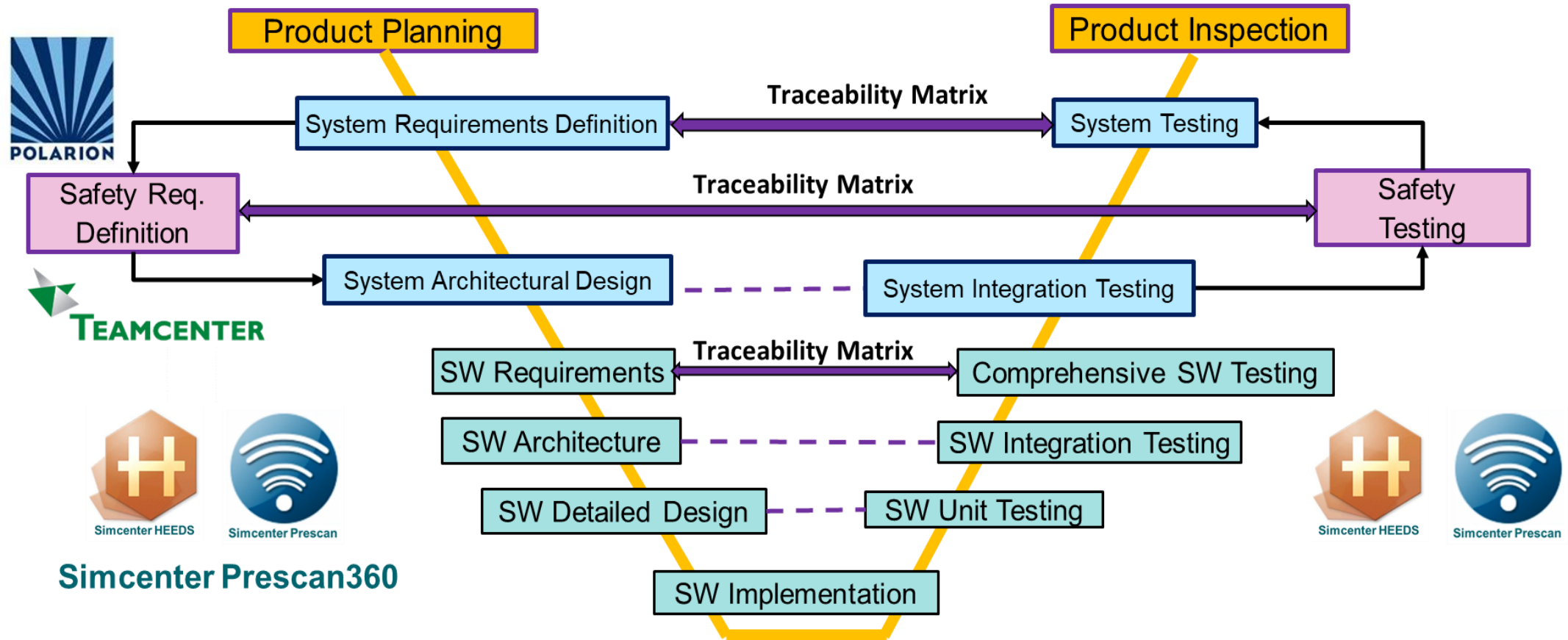


**How to assure safety?** Safety by design, which means: how we **Define → Design → Develop → Deploy**.

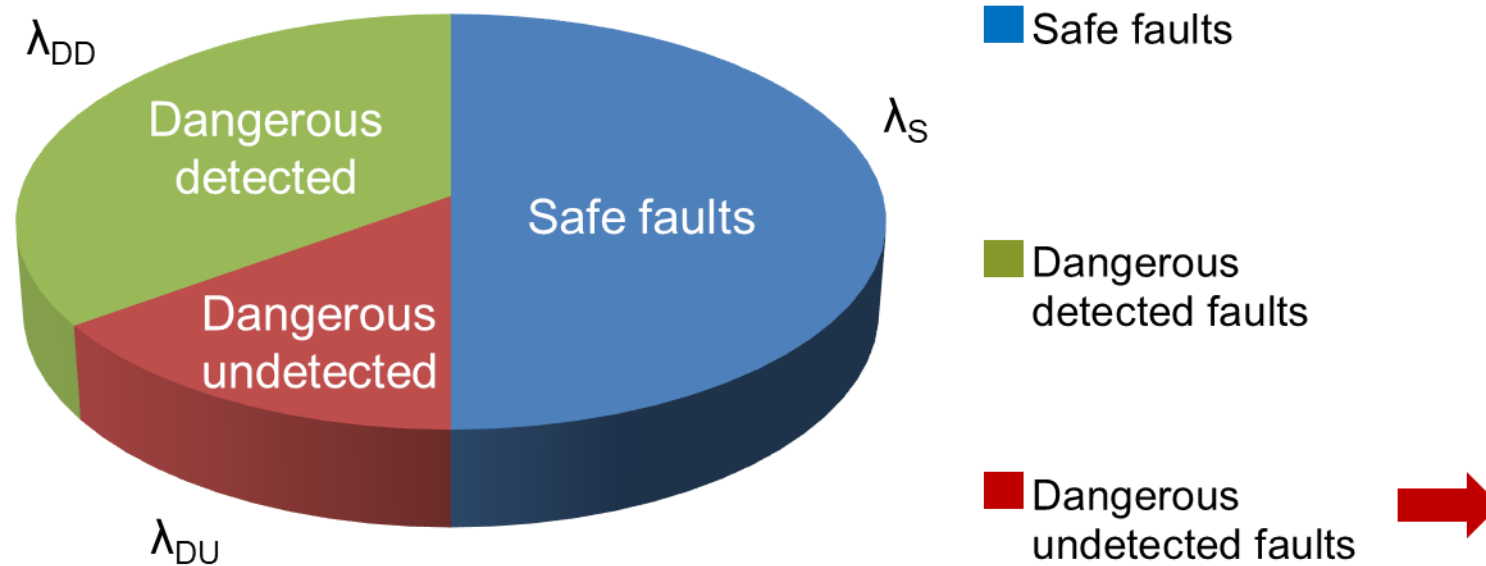




# Software Development: Systems Engineering Approach



**How to assure safety?** Safety by design, which means how we **Define → Design → Develop → Deploy**.

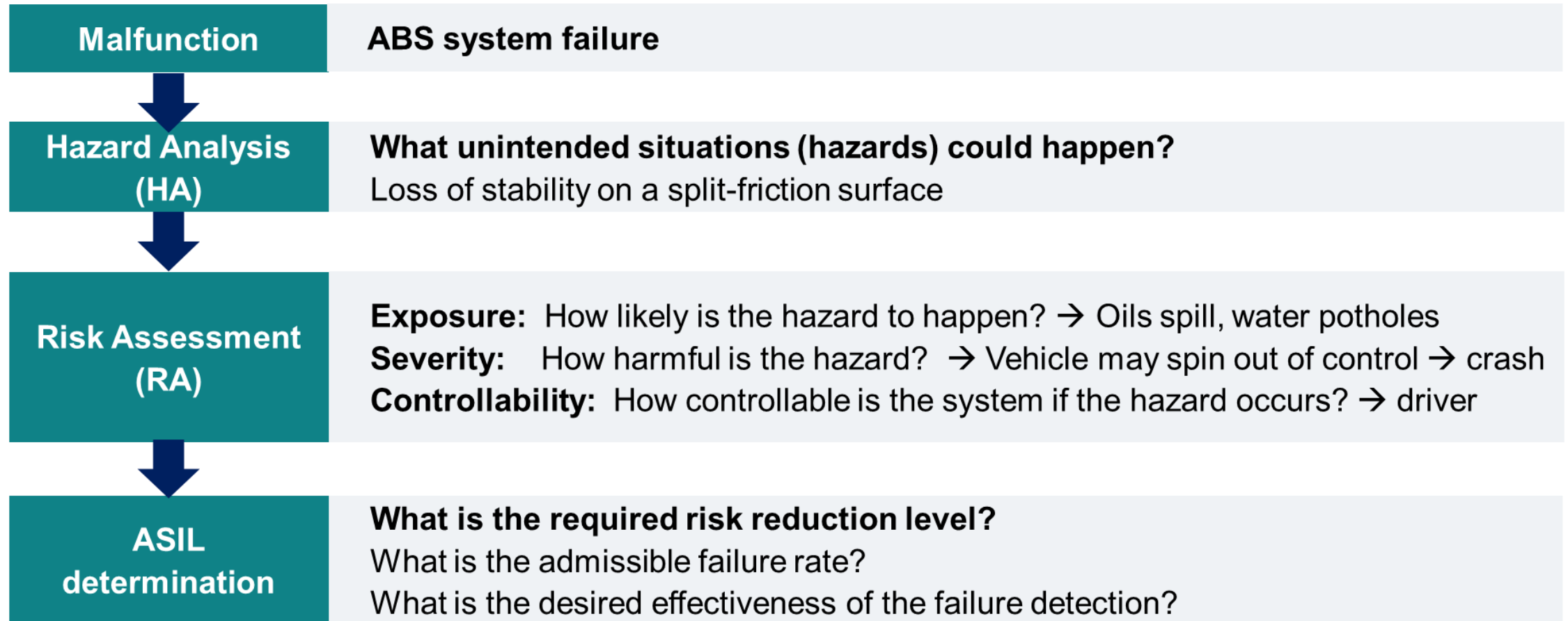


To be minimized via  
diagnostics, redundancy,  
diversity and  
better quality components.

According to: IEC61508

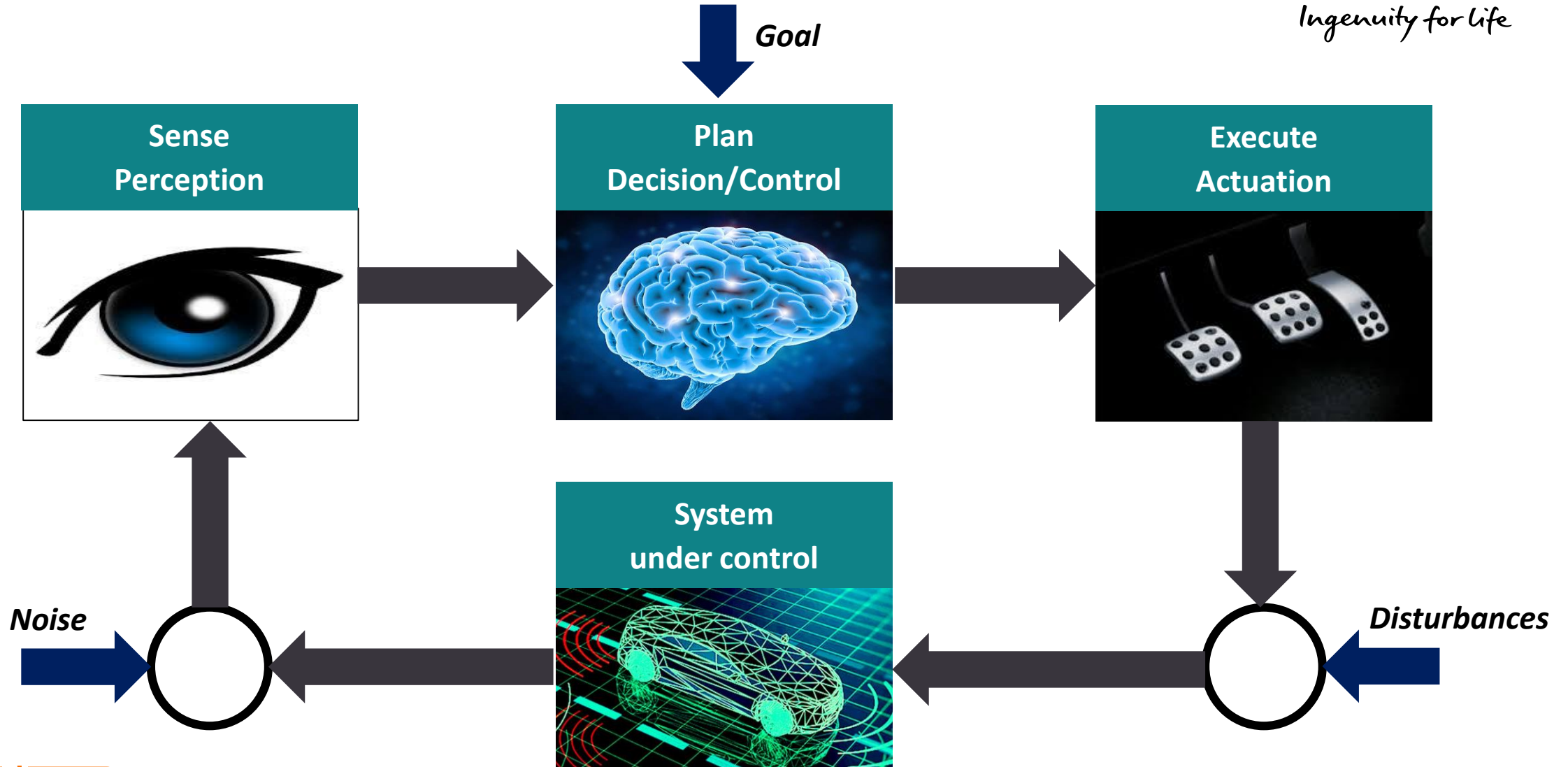
**Remark:** undetected fault means that the fault is known but with the current risk reduction methods cannot be detected.

# Hazard Analysis and Risk Assessment (HARA)

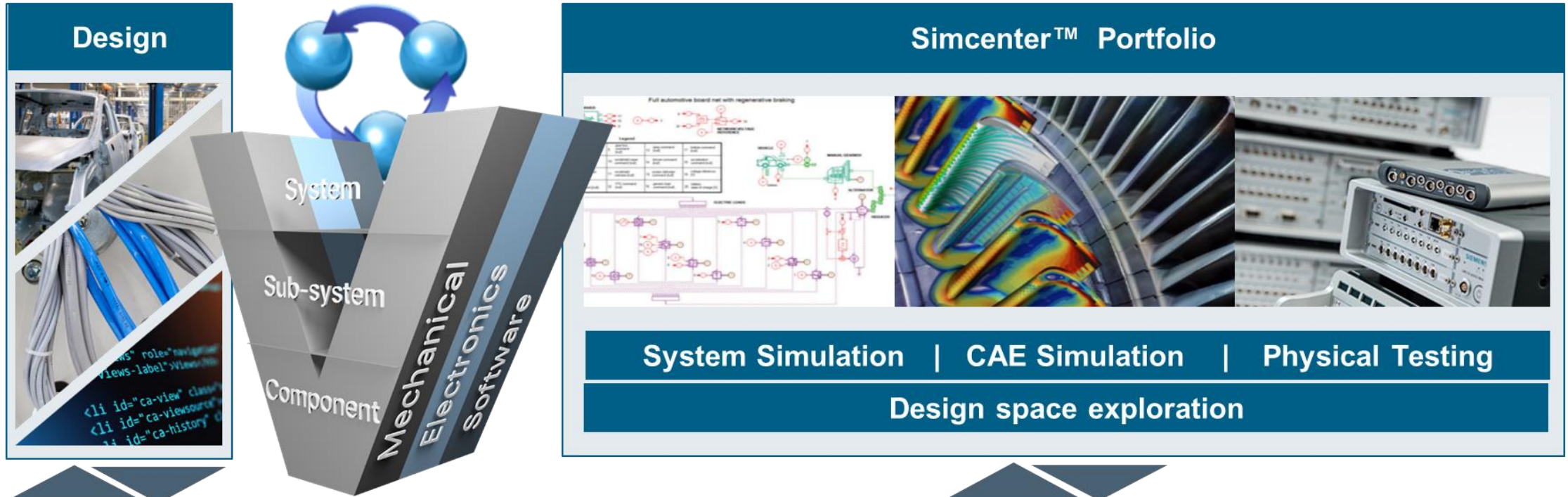




# Automated Driving System



## Verification and Validation at Component, Sub-system and System Level



**Teamcenter - Traceability, Change and Configuration Management**



Automated driving systems - main challenges

Verification and validation of automated valet parking system  
ISO 26262 perspective

**Verification and validation of automated valet parking system**  
SOTIF perspective

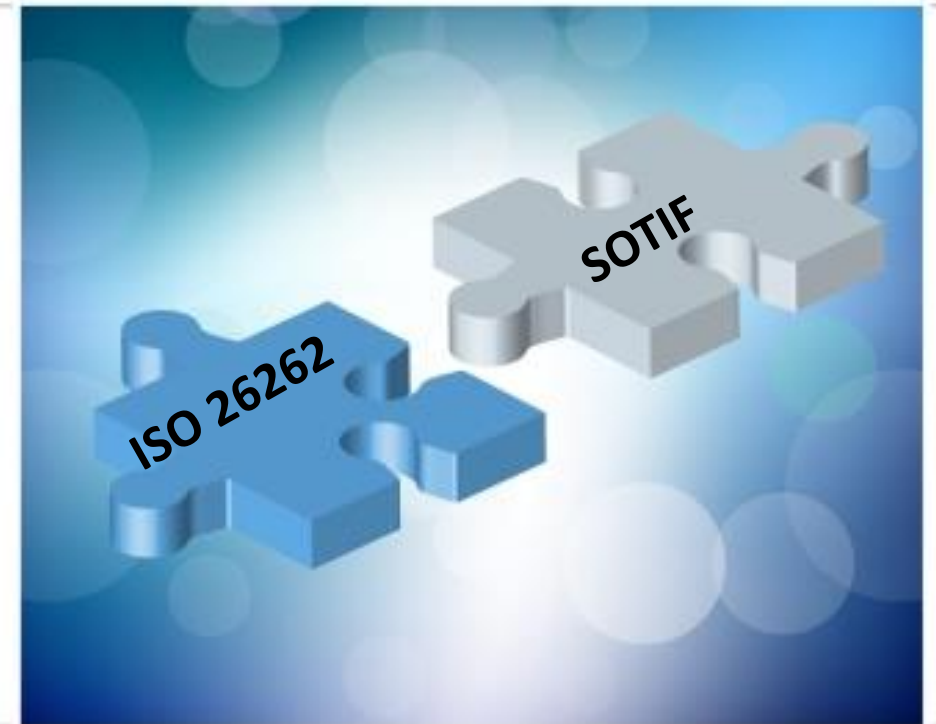
Remarks, conclusions and discussions



**ISO26262** – functional safety standard - **how the system should detect and respond to failures**, errors, or off-nominal performance of the self-driving system.

**SOTIF** – safety of the intended functionality - **how the system should detect and respond to functional insufficiencies of the intended functionality or by reasonably foreseeable misuse by persons.**

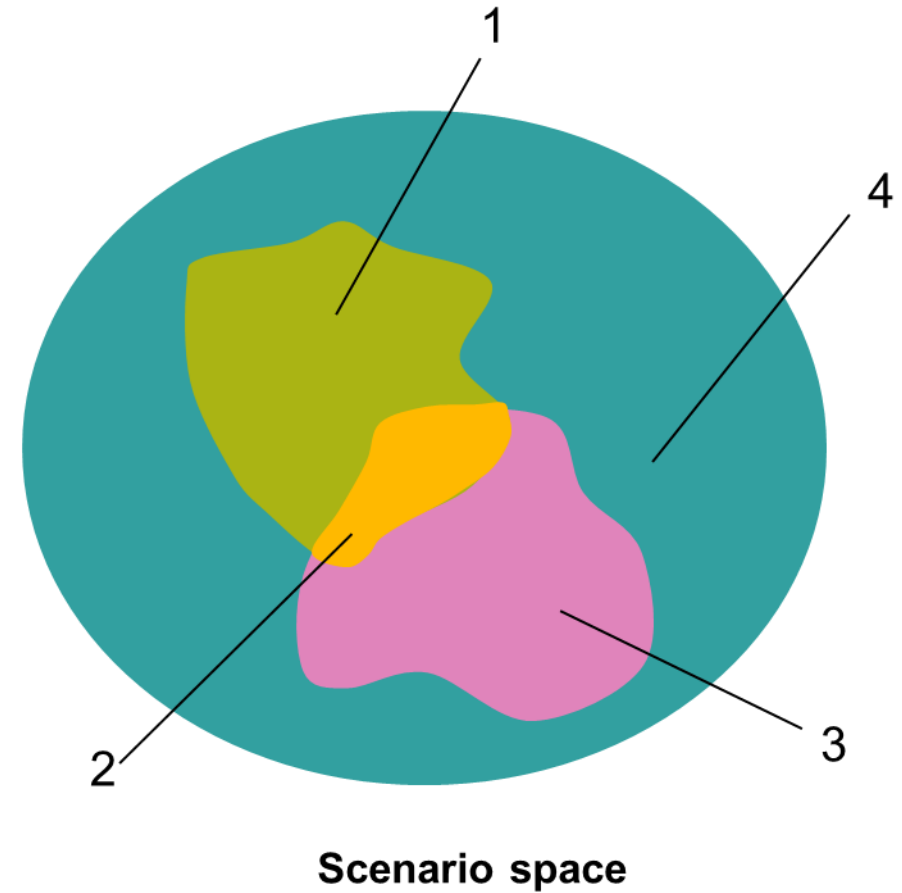
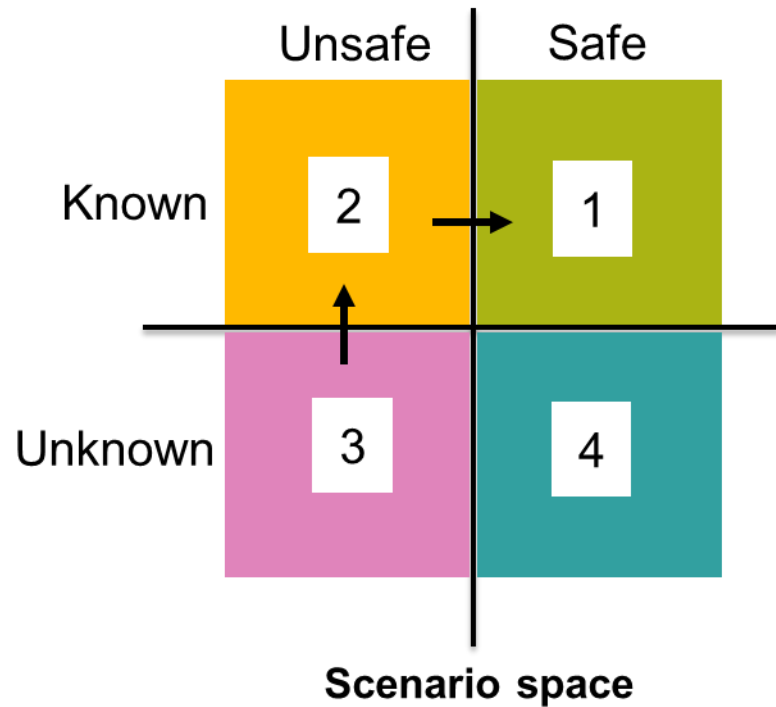
The objective is to validate the automated function in all relevant scenarios, especially in difficult conditions for both sensors and algorithms.



**SOTIF is complementing ISO26262**





# SOTIF: Scenario Space and Scenario Categories

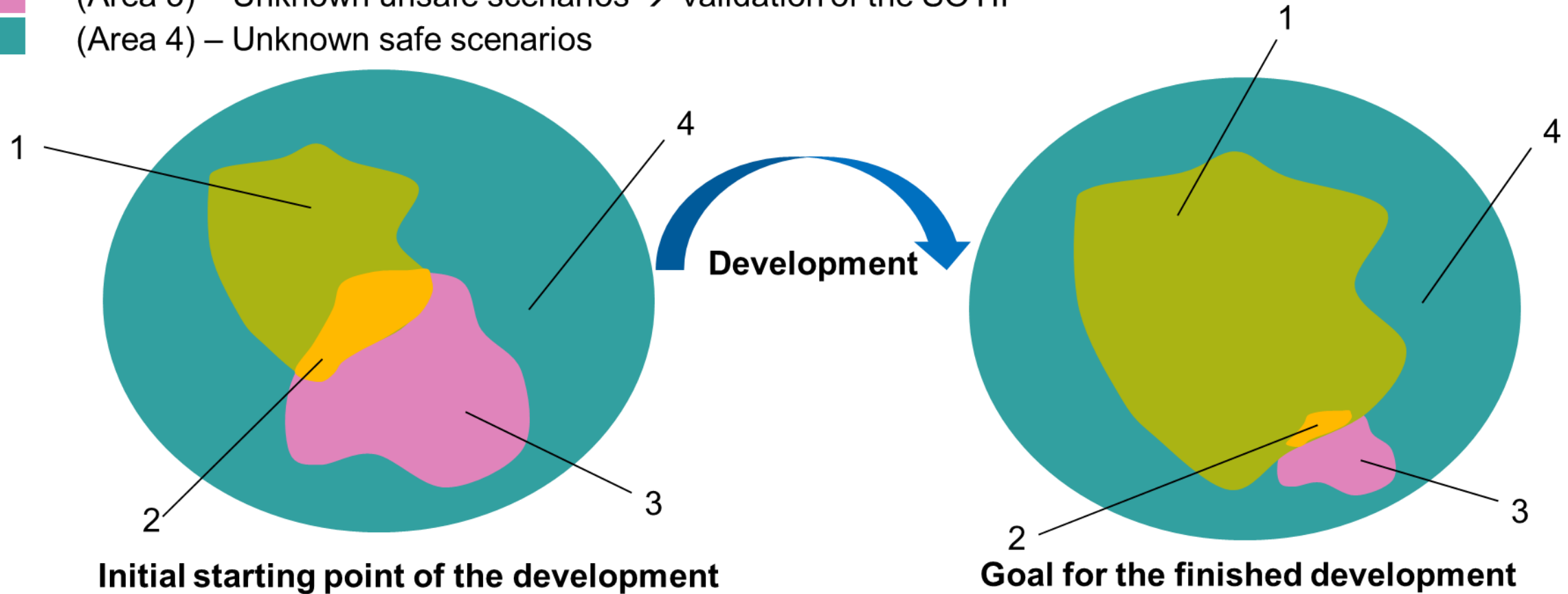
- (Area 1) – Known safe scenarios
- (Area 2) – Known unsafe scenarios
- (Area 3) – Unknown unsafe scenarios
- (Area 4) – Unknown safe scenarios



SOTIF - ISO PAS 21448

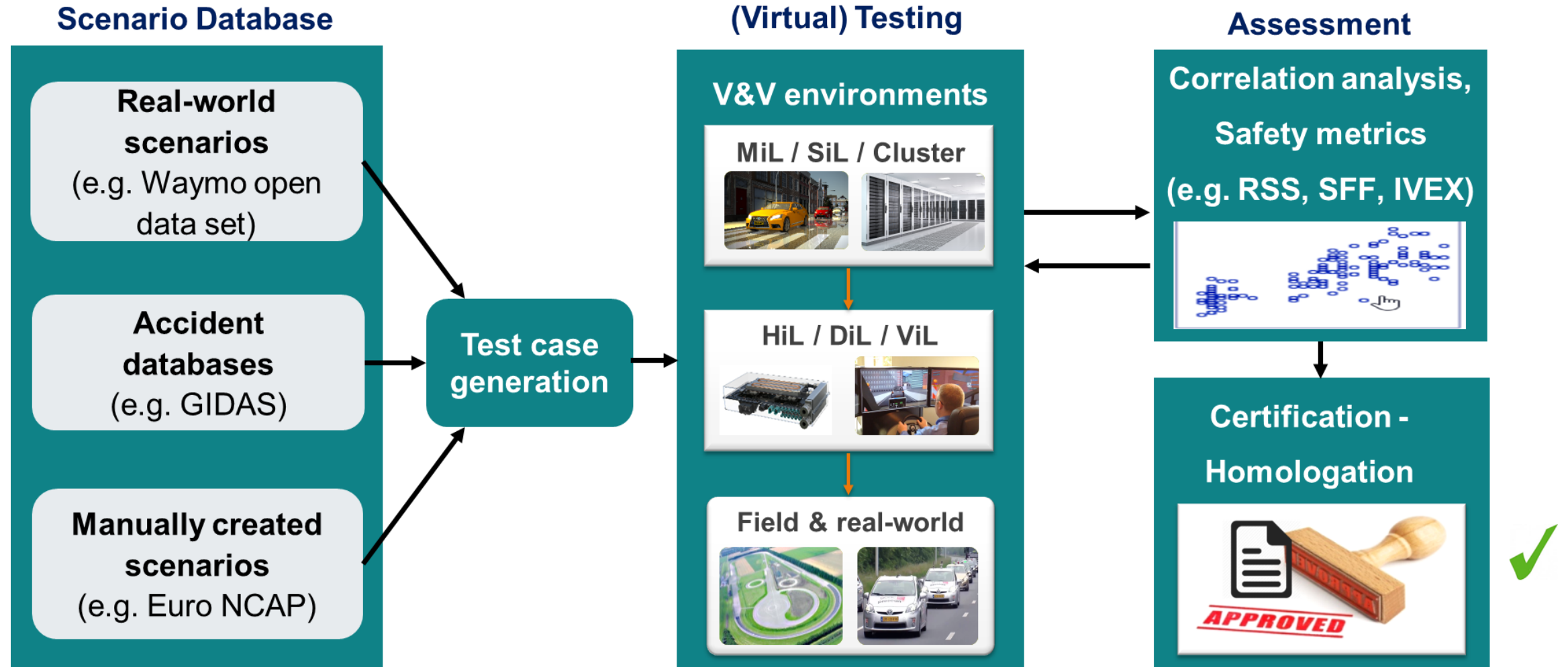
# Evolution of Scenario Categories

-  (Area 1) – Known safe scenarios
-  (Area 2) – Known unsafe scenarios → Verification of the SOTIF
-  (Area 3) – Unknown unsafe scenarios → Validation of the SOTIF
-  (Area 4) – Unknown safe scenarios



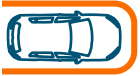
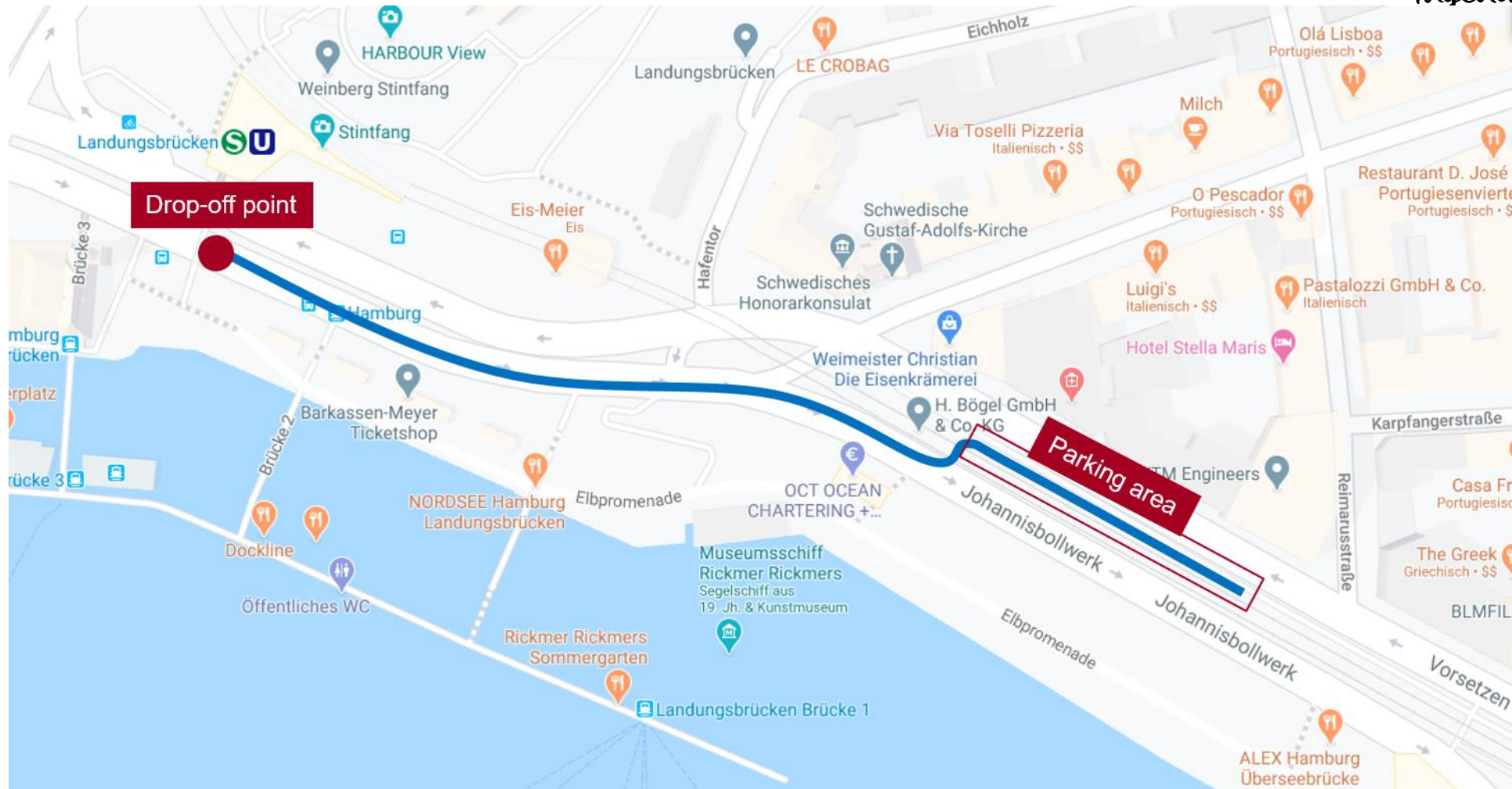
SOTIF - ISO PAS 21448

# Verification and Valiation Framework



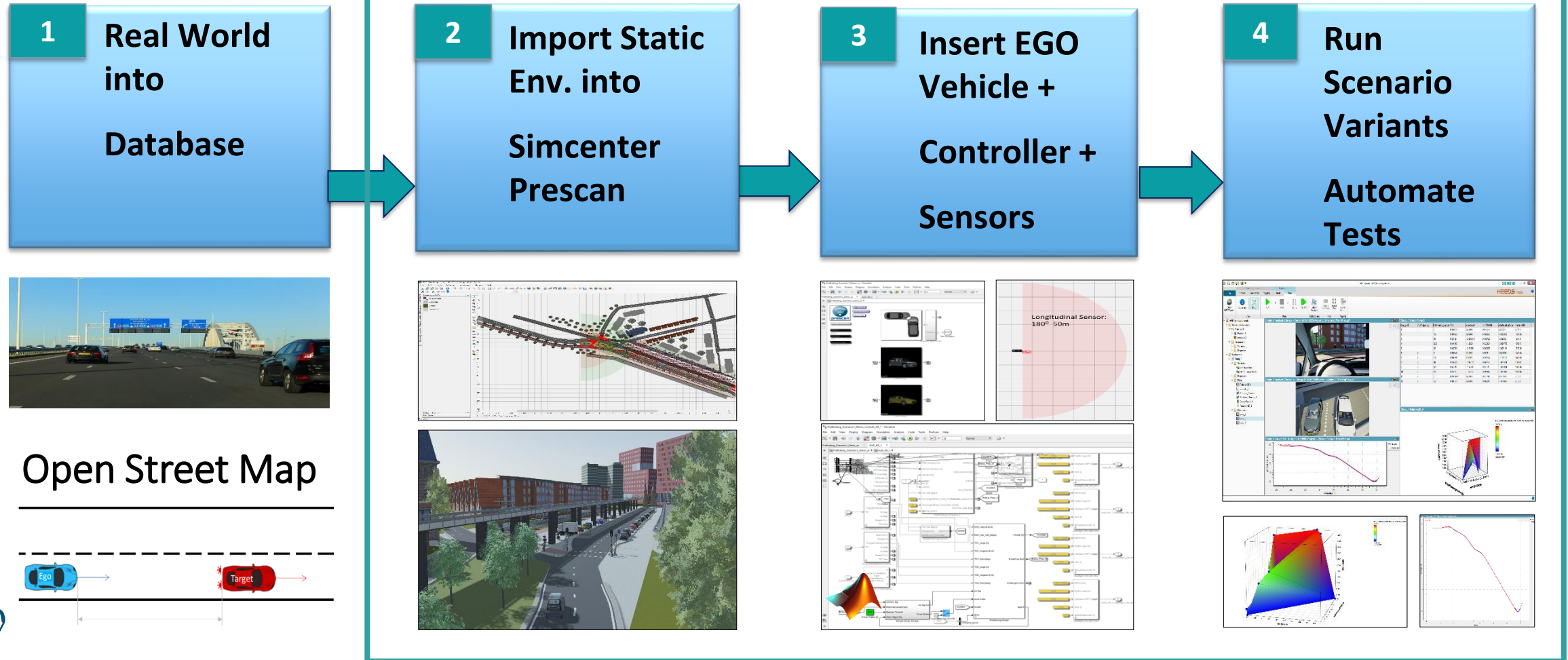


# Real-World Parking Area in Hamburg

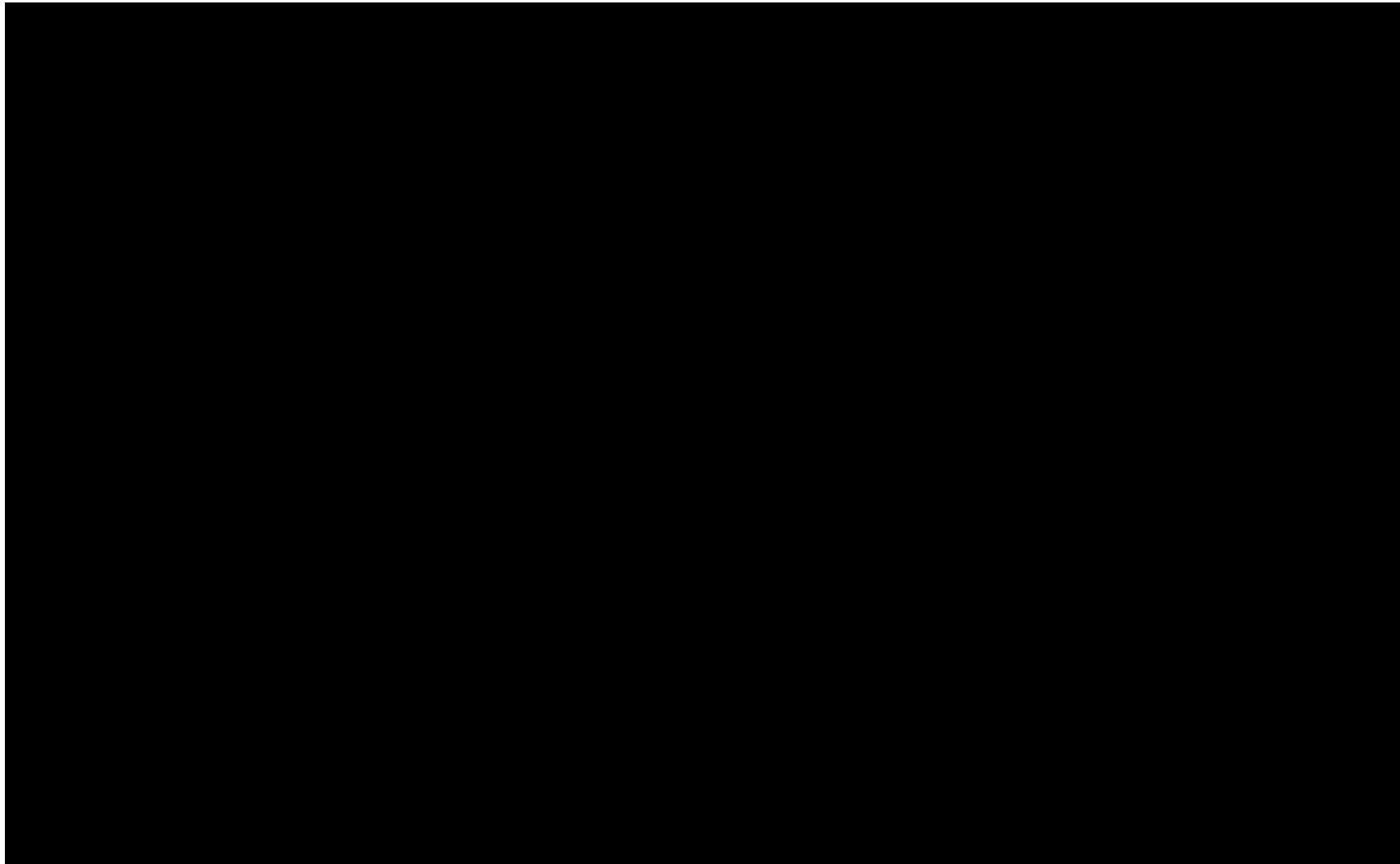


# From Real-World to Virtual-World

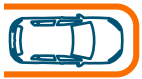
## Simcenter Prescan360













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**Remarks, conclusions and discussions**



## Siemens project goals:

- develop a unified framework/methodology for verification and validation of automated driving systems
- follow and demonstrate the validity of the V&V framework in case of automated valet parking system

## Safety assurance of complex systems:

- if the operational environment is unknown operational safety is a very difficult (impossible) task
- verification and validation shall be performed at each level of the system
- there is no unified standard for certification of automated driving systems

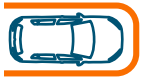


Thank you for your attention!

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